

Strengthen PSNP4 Institutions and Resilience (SPIR)
Development Food Security Activity (DFSA)
PHQ-9 FOLLOW-UP SURVEY: Female Questionnaire – April 21, 2020
Phone-based survey

DRAFT: For Research Purpose only

Outline:

Module A: Call information, household identification and consent

Part 1: Respondent identification and consent

Module L: Emotional wellbeing

Part 5: Happiness and life satisfaction

Part 3: Experience with depression and emotional wellbeing

Part 4: Safety protocol

Module I: Household activities, decision-making and empowerment

Part 2: Agency

Module M: Program exposure

Part 5: Participation in interpersonal therapy in groups (IPT-G)

Part 6: Exposure to COVID-19

Module Z: End of interview

Part 1: Contact information

Part 2: Phone call status

Universal Codes (Include with all CAPI options):

-97=Refuse to respond	-98=Don't know	-99= Not applicable
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ENUMERATOR INSTRUCTIONS:

For this survey, use the following definitions of a household, household member, household head, index children and primary female and male respondents:

- **Household:** a group of people who live and eat together, share resources and form a common decision-making unit. Often, a household is composed of a head of household, spouse(s), their unmarried children, and possibly their relatives or other persons to whom they are unrelated. The household can be limited to only one person or a person with his or her children. In a polygamous household where all the spouses do not live in the same compound as their husband, each of the spouses living elsewhere will be listed as a separate household with the persons they live with (the spouse being the head of that household). A tenant who does not take his meals where he lives is considered as a separate household. In a case where a household head lives in a compound with a spouse or spouse(s) and their children, among which some are married, each of the married children with their spouse(s) and own children and other unmarried dependents under their responsibility are all part of the same single household. In this case, all three generations (and other unmarried dependents) belong to one household. In a group of unmarried people living together where everyone has his own means of livelihood, each member of the group will form his own household.
- **Household member:** anyone who met the criteria for being part of the household more than six of the last twelve months, as well as anyone who recently entered the household through birth or marriage to a household member.
- **Household head:** the individual who plays a leading role in household decision-making, particularly concerning farming, household economic activity and expenditures. Generally, the person identified by the household as the household head is accepted in this role for the survey.
- **Baseline index child (BIC):** The baseline index child for this study is a randomly selected child from the baseline survey who was between the ages of 0-35.9 months at baseline. The baseline index child should be 16-52 months of age at the time of the midline survey.
- **Midline index child (MIC):** The midline index child for this study, if available, is a randomly selected sibling of the baseline index child between the ages of 0-23.9 months at midline. If the baseline index child does not have a sibling in this age range, the midline index child is a randomly selected child from the midline survey who is not the baseline index child and who is between the ages of 0-23.9 months at midline.
- **Primary female respondent:** The primary female respondent for this study is the caregiver of the baseline index child or the caregiver of the midline index child as they were identified during the midline data collection.

Module A, Part 1: Respondent identification

ENUMERATOR:

No.	Household Identification	Response
HHID	Household Census ID (10-digit number) [CAPI to prompt enumerator: “According to our records the head of this household is [HHH NAME]. At baseline the primary female respondent was [PF NAME], and the index child was [BIC NAME]. Please confirm”]	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
A07	Code of Interviewer	<input type="text"/> <input type="text"/>
A08	Code of Supervisor	<input type="text"/> <input type="text"/>
A16	Is this household part of the supplemental sample? (<i>preloaded</i>)	1. Yes 2. No
A00a	GPS coordinates, Latitude (minutes) (<i>preloaded</i>)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
A00b	GPS coordinates, Longitude (minutes) (<i>preloaded</i>)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
A01/A01a	Region (code and name) (<i>preloaded</i>)	<input type="text"/> <input type="text"/>
A02/A02a	Zone (code and name) (<i>preloaded</i>)	<input type="text"/> <input type="text"/>
A03/A03a	Woreda (code and name) (<i>preloaded</i>)	<input type="text"/> <input type="text"/>
A04/A04a	Kebele (code and name) (<i>preloaded</i>)	<input type="text"/> <input type="text"/>
A09	Name of household head (<i>preloaded from midline</i>)	
PF_NAME MID	Name of primary female respondent from midline (<i>preloaded from midline</i>)	
BIC_NAME	Name of baseline index child (<i>preloaded from midline</i>)	

No.	Household Identification	Response
MIC_NAME	Name of midline index child (<i>preloaded from midline</i>)	
PM_NAME MID	Name of primary male respondent from midline (<i>preloaded from midline</i>)	
A06	[Enumerator: Are you able to get hold of the household?]	<input type="checkbox"/> 1. Yes >> skip to A06ba 2. No
A06a	[Enumerator: Why were you not able to get hold of the household?]	<input type="checkbox"/> 1. Phone number stopped working 2. Line busy and is not being picked up 3. Network problem 4. The phone rings but no response 5. Someone not related to the household picked up 6. Other, specify..... >> skip to Z2_03
ENUMERATOR: SAY: Hi, my name is [enumerator name] and I am from Laterite Ltd, a data, research and advisory firm based in Addis Ababa. Thank you for this opportunity to speak with you. Together with the International Food Policy Research Institute (IFPRI), we are conducting a survey for research that is designed to help promote the welfare of people in Ethiopia; particularly, to improve nutrition and health outcomes. Your household already participated in an interview for this study sometime from August to October 2019. We are calling now to conduct a brief follow-up phone interview. We would like to speak with [PF_NAME_MID] .		
A06ba	Is [PF_NAME_MID] , the primary female caregiver, available to speak with me?	1. Already speaking to her >> skip to A06dd 2. Yes, phone will be handed to her >> skip to A06dd 3. No
A06bb	[Enumerator: If [PF_NAME_MID] is not available now, can you schedule a time to talk with her later?]	1. Yes >> record a time for calling back and skip to A06dd 2. No
A06bc	[Enumerator: Why are you not able to interview [PF_NAME_MID] , the midline primary female caregiver (now nor later)?]	1. Respondent is too busy to be interviewed 2. Respondent is too sick to be interviewed 3. Other household member refuses to hand over phone 4. Respondent is temporarily away from home

No.	Household Identification	Response
		5. Respondent has permanently left the household 6. Household doesn't know the primary female respondent 7. Other, specify..... >> skip to Z2_03

No.	Household Identification	Response				
A06dd	Day of the call (dd)	<table border="1"> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> </table>				
A06mm	Month of the call (mm)	<table border="1"> <tr> <td></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> </table>				

CONSENT OF PRIMARY FEMALE RESPONDENT

[Enumerator, only read this paragraph if A06ba = 2: SAY: Hi, my name is [NAME] and I am from Laterite Ltd, a data, research and advisory firm based in Addis Ababa. Thank you for this opportunity to speak with you. Together with the International Food Policy Research Institute (IFPRI), we are conducting a survey for research that is designed to help promote the welfare of people in Ethiopia; particularly, to improve nutrition and health outcomes. Your household already participated in an interview for this study sometime from August to October 2019. We are calling now to invite you to participate in a brief follow-up phone interview.]

Participation, Cost and Benefits

Your participation in this research is completely voluntary. We will use approximately 15 minutes of your time to collect the information. There will be no cost to you other than your time. This call will not cost you any airtime. After this call, we will transfer phone credit worth ETB 50 to thank you for your time. We value your opinions. There are no wrong answers to the questions I will be asking. As I said, your participation in this research is completely voluntary. You are free to withdraw your consent and discontinue participation in this study at any time and will not be adversely affected. You are also free to refuse to answer any question we may ask. There will be no risk because of your participation in the study.

Objective of the Study

The survey will collect information about the wellbeing of your household and about your physical and mental health. The answers you give will help provide better information to policymakers, practitioners and program managers so that they can plan for better services that will respond to the needs of people in your woreda and surrounding areas.

Privacy and Confidentiality

This study is conducted anonymously. You will only be identified through code numbers. Your identity will not be stored with other information we collect about you. Any information we obtain from you during the research will be kept strictly confidential. Your information, anonymized, along with everyone else's data who participates in this survey, will be used in the final reports of our findings. We may contact you again for a short phone interview or for an in-person interview like the one we conducted in 2019.

Who to Contact

Do not hesitate to ask any questions you may have on the objectives of the study or your participation. Your participation will be highly appreciated. If you have questions about the research in general or about your role in the study, please feel free to contact Laterite's data team lead Fitsum Dagmawi on 0980635571. If you have questions about your rights as a participant in this research study, you may contact Olivette Burton, coordinator of the IRB at IFPRI, which protects the rights of study participants. You can contact the IRB at tel: #001-202-862-5600 (or at IFPRI-irb@cgjar.org).

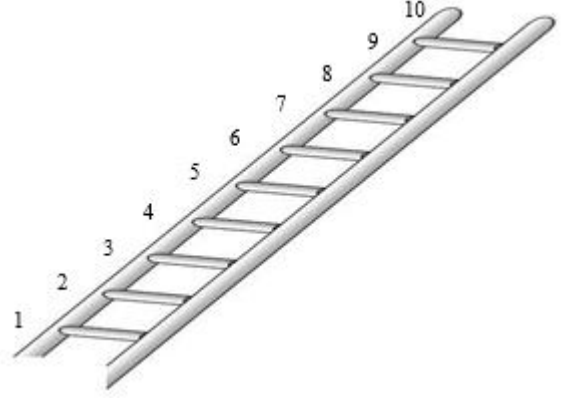
Contact Persons:

Fitsum Dagmawi	Dr. Dan Gilligan, IFPRI	Dr. Alemayehu Seyoum Taffesse, IFPRI
Address: Laterite, Addis Ababa, Ethiopia	Address: 1201 Eye St NW	Address: IFPRI - ESARO - ILRI
Tel: (0) 913015839	Washington DC, 20005, USA	P.O. Box 5689, Addis Ababa, Ethiopia
E-mail: fdagmawi@laterite.com	Tel: +1 202-862-5600	Tel: (0) 11-617-2500
	E-mail: d.gilligan@cgjar.org	E-mail: a.seyoumtaffesse@cgjar.org

consent	Do you agree to participate in the survey?	<input type="checkbox"/>	1. Yes >> skip to next module 2. No
refreason	<i>[Enumerator: Discontinue interview and fill in the tracking sheet with information on interview status.]</i> Why did respondent not consent?	<input type="checkbox"/>	1. Survey is too sensitive 2. Too busy to participate

			3. Currently sick, and unable to participate 4. Worried about personal privacy 5. Other, specify.....
confirm_name	SAY: May I confirm, what is your name? [Enumerator, is this the name of the primary female respondent?]		1. Yes 2. No >> review the names of the household head, primary female respondent and BIC and/or MIC to confirm whether this is the correct household and respondent.
confirm_itw	SAY: Do you remember being interviewed by our research team in 2019, when we asked about your livestock, shoats and poultry, the education level of your children and your wellbeing?		1. Yes 2. No
confirm_age	SAY: What is your age in years?		
confirm_educ	SAY: What is the highest class that you passed in school?		Never attended school..... 96 Reads in class I 0 Completed class I 1 Completed class II 2 Put number of highest completed class. For example, if currently in class IV, put 3 (class III completed) Completed High School..... 12 BA/BSc 14 Higher National Diploma..... 15 MA/MSc and above 16 Certificate 22 Preschool class (general) 66 Religious school 67 Literacy/Adult programs 68 Other 76

Module L, Part 5: Happiness and life satisfaction

L5_01	Taking all things together, would you say you are (<i>read out and code one answer</i>)? [WVS6 V10]	<input type="checkbox"/>	1 Very happy 2 Rather happy 3 Not very happy 4 Not at all happy
L5_02	Please imagine a ten-step ladder, where the bottom, the first step, means you are “completely dissatisfied” with your life, and step 10, the highest one, means you are “completely satisfied”. Where would you put your satisfaction with your life as a whole? 1=first 2=second 3=third 4=fourth 5=fifth 6=sixth 7=seventh 8=eighth 9=ninth 10=tenth		

Module L, Part 3: Experience with depression and emotional wellbeing

L_ID	Respondent ID (Preloaded: PF_NAME_MID).	<input type="text"/>	ID
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[Enumerator, read out loud:]

“Now I want to ask you some questions about problems that everyone sometimes has in their lives but are particularly common among people who live in difficult or stressful situations. These problems, resulting from difficult experiences or challenges, can make you feel sad, depressed or hopeless. They can affect your sleep, your appetite or whether you are able to carry on with your usual activities. As I said, everyone experiences these problems at some time in their lives. I want to ask you a series of questions about your recent experience with these problems. For each one, I’m going to ask how many days in the last 2 weeks you had this problem. For each problem you can answer: Not at all, Several days, more than half the days, or nearly every day. For each problem I want you to think about how many days you have had the problem in the last 2 weeks and then choose the answer that best matches that. For example, if you had a problem for 5 days in the last 2 weeks you would choose ‘several days.’ Is this clear?” Let’s begin.

PHQ code	PHQ topic	On how many days in the past two weeks have you been bothered by [PHQ topic]: 0 Not at all [0 days] >> Next PHQ topic 1 Several days [1-7 days] 2 More than half days [8-11 days] 3 Nearly every day [12-14 days] 97 Response refused	On an average day in the past two weeks when you were bothered by [PHQ topic], how much were you bothered by this problem? [Enumerator: read options] Not at all.....1 To a small extent.....2 To a medium extent...3 To a large extent4
L3_01	L3_02	L3_03	L3_03a
1	Having little interest or pleasure in doing things.		
2	Feeling down, depressed or hopeless		
3	Having trouble falling asleep, staying asleep or sleeping too much		
4	Feeling tired or having little energy		
5	Having a poor appetite or overeating		
6	Feeling bad about yourself – or that you’re a failure or have let yourself or your family down		
7	Having trouble concentrating on things, such as cooking food, coffee ceremony or listening to the radio		
8	Moving or speaking so slowly that other people could have noticed. Or, the opposite – being so fidgety or restless that you have been moving around a lot more than usual		
9	Having thoughts that you would be better off dead or of hurting yourself in some way		

[Enumerator, if the sum of answers L3_03_1—L3_03_9 >= 20, please inform your supervisor about this case for referral.]

L3_04	How difficult have those problems made it for you to do your work, take care of things at home, or get along with other people?	<input type="text"/>	Not difficult at all..... 1 Difficult, to a small extent..... 2 Difficult, to a moderate extent..... 3 Difficult, to a large extent 4 >> Skip if L3_03=0 for all problems listed in L3_02
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Module L, Part 4: Safety protocol

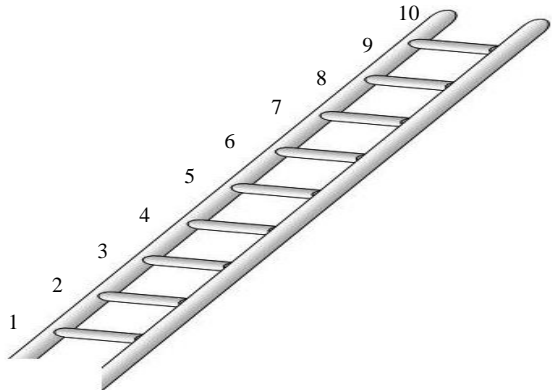
	[Enumerator: if the response to L3_03 question 9 is 1, 2 or 3, then say: “From what you have said, I can tell that you are facing some difficulties. I want to ask you some additional questions to make sure that you are safe.”]		
L4_01	Have you wished you were dead or wished you could go to sleep and not wake up in the past month?	<input type="checkbox"/>	1. Yes 2. No
L4_02	Have you actually had any thoughts of killing yourself in the past month?	<input type="checkbox"/>	1. Yes 2. No >> skip to L4_06
L4_03	Have you been thinking about how you might do this?	<input type="checkbox"/>	1. Yes 2. No
L4_04	Have you had these thoughts and had some intention of acting on them?	<input type="checkbox"/>	1. Yes 2. No
L4_05a	Have you started to work out or worked out the details of how to kill yourself?	<input type="checkbox"/>	1. Yes 2. No
L4_05b	Do you intend to carry out this plan?	<input type="checkbox"/>	1. Yes 2. No
L4_06	Have you ever done anything, started to do anything, or prepared to do anything to end your life (in your lifetime)?	<input type="checkbox"/>	1. Yes 2. No >> skip to next module
L4_06a	Have you ever done anything, started to do anything, or prepared to do anything to end your life (in the past 3 months)?	<input type="checkbox"/>	1. Yes 2. No
L4_06b	Record date of last attempt		Date
L4_07	[Enumerator: What is the risk level of the respondent?] [CAPI instructions: If L4_01, L4_02, and L4_06 are 2 (NO), CAPI should read “No risk” If L4_02 is 1 (YES), and L4_03, L4_04, L4_05a, L4_05b and L4_06 are 2 (NO), CAPI should read “Low risk” If L4_02 is 2 (NO), and L4_06 is 1 (YES) and L4_06a is 2 (NO), CAPI should read “Moderate risk” If L4_02 and L4_03 are 1 (YES) and L4_04, L4_05a, L4_05b and L4_06 are 2 (NO), CAPI should read “Moderate risk” If L4_02 is 2 (NO) and L4_06 is 1 (YES) and L4_06a is 1 (YES), CAPI should read “High risk” If L4_02 is 1 (YES), and L4_04 or L4_05a and L4_05b are 1(YES), CAPI should read “High risk”]	<input type="checkbox"/>	1. No risk 2. Low risk 3. Moderate risk 4. High risk
[Enumerator, if L4_07=3 or L4_07=4 (moderate or high risk), please inform your supervisor about this case for referral.]			
L4_08	If L4_07=3 or 4 (moderate or high risk), then: “From what you have told me, I can tell you are in some emotional pain. Do you have a trusted family member or friend who can help you and make sure you are safe? After this interview, I will ask you to give me their name and contact information.”		1. Yes 2. No

Module I, Part 2: Agency

Now I'm going to ask you some questions about different feelings you might have. Please listen to each of the following statements. Think about how each statement relates to your life, and then tell me how much you agree or disagree with the statement on a scale of 1 to 5, where 1 means you "strongly disagree" and 5 means you "strongly agree."

STATEMENTS		I2_01. HOW MUCH DO YOU AGREE OR DISAGREE WITH THE FOLLOWING STATEMENT?	
		STRONGLY DISAGREE	1
		DISAGREE	2
		NEITHER AGREE NOR DISAGREE	3
		AGREE	4
		STRONGLY AGREE.....	5
A	I will be able to achieve most of the goals that I have set for myself.		
B	When facing difficult tasks, I am certain that I will accomplish them.		
C	In general, I think that I can obtain outcomes that are important to me.		
D	I believe I can succeed at most any endeavor to which I set my mind		
E	I will be able to successfully overcome many challenges.		
F	I am confident that I can perform effectively on many different tasks.		
G	Compared to other people, I can do most tasks very well.		
H	Even when things are tough, I can perform quite well.		

I2_02	<p>Please imagine a ten-step ladder, where on the bottom, the first step, are those who are totally unable to change their lives, while on step 10, the highest step, stand those who have full control over their own life. On which step are you?</p>	
	<p>1=first 2=second 3=third 4=fourth 5=fifth 6=sixth 7=seventh 8=eighth 9=ninth 10=tenth</p>	



Module M: Experience with the program

[Enumerator: The respondent for this part of the questionnaire is the primary female respondent whose name is [NAME]. If [NAME] is not available to be interviewed, please do not complete this survey right now.]

M_ID	Primary Female Respondent ID			ID
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Module M, Part 5: Participation in Interpersonal Therapy in Groups (IPT-G)

M5_01	Since [Tahsas 2012], did you participate in a SPIR interpersonal group therapy sessions?		Yes 1 No 2 >> skip to M5_07
M5_02	How satisfied were you with the group therapy sessions? [Enumerator: Read options aloud]		Very unsatisfied 1 Unsatisfied 2 Neither unsatisfied nor satisfied 3 Satisfied 4 Very satisfied 5
M5_03	What were the main benefits of participating in the group therapy sessions? (Allow multiple responses. DO NOT read the options aloud.)		Reduced my feelings of depression 1 Reduced other feelings of anxiety or stress 2 Support from the Community Health Facilitator 3 Support/friendship of other group members 4 Time away from my responsibilities 5 Other, specify (.....) ... 6
M5_04	At any point, did you stop participating in the group therapy sessions altogether?		Yes 1 No 2
M5_05	How many sessions did you attend in total?		Number [Maximum allowed: 15]
M5_06	If you did not attend all of the group therapy sessions, what was the most common reason that you did not attend?		Sessions were not helpful 1 I did not have time to participate 2 Nobody is taking care of my children at home 3 It is too far to travel to the sessions 4 My health condition worsened and I was unable to attend 5 I was feeling better and did not need to continue attending 6 My family did not want me to attend 7 Moved out of the area 8 Attended all sessions 9 Other, specify (.....) ... 10
M5_07	Since [Tahsas 2012], have you received any other mental health services from a clinic, hospital, nurse or doctor?		Yes 1 No 2

Module M, Part 6: Exposure to COVID-19

M6_01	Have you heard of coronavirus or COVID-19?		Yes 1 No.....2 >> skip to Module Z
M6_02	As of today, how much has COVID-19 affected the income of you and your household?		Not at all..... 1 A little2 Somewhat3 To a great extent.....4
M6_03	As of today, how much has COVID-19 affected access to food for you and your household?		Not at all..... 1 A little2 Somewhat3 To a great extent.....4
M6_04	How concerned are you about the effect of coronavirus/COVID-19 on you and your household’s overall wellbeing in the next 3 months?		Not at all..... 1 A little2 Somewhat3 To a great extent.....4

Module Z, Part 1: Contact information

[ENUMERATOR, read out loud:] "We are almost at the end of our interview. Now I would like to make certain that we have full contact information for this household."

No.	Household Identification	Response
Z1_01	If we want to call you in the future, is there a better phone number to reach you at than this one? This number may be for household member or may be the number of a non- household member.	1. Yes 2. No >> skip to Z1_02
Z1_01a	[Enumerator, please write down the phone number.]	
Z1_01b	Whose phone number is this?	<i>Use IDs from household roster. Non-household member = -94</i>
Z1_02	Is the number we called you at the best number to send you the phone credit of ETB 50?	1. Yes >> skip to Z1_03 2. No
Z1_02a	[Enumerator, please write down the number at which the respondent wishes to receive their phone credit.]	
Z1_02b	Whose phone number is this?	<i>Use IDs from household roster. Non-household member = -94</i>
Z1_03	Do you know the name and phone number of a leader in your kebele that we could contact?	1. Yes 2. No >> skip to Module Z2
Z1_03a	[Enumerator, note the name and number of the leader.]	
Ask the following questions if L4_08=1:		
Z1_04a	Earlier during this interview, you told me that you have a trusted friend or family member who you could put me in touch with to discuss your emotional distress. What is their name?	
Z1_04b	How is this person related to you?	<i>Write down relationship, such as spouse, sibling, etc.</i>
Z1_04c	What is their phone number?	
Z1_04d	Can I speak with this person now?	1. Yes 2. No
Z1_04e	[Enumerator, did you talk with the trusted friend or family member whose contact information you received?]	1. Yes 2. No

Module Z, Part 2: Interview status

No.	Household Identification	Response
Z2_01	Did the respondent terminate the survey early?	1. Yes 2. No >> skip to Z2_02 3. Temporary stop only. Wishes to continue survey at a later time

No.	Household Identification	Response
Z2_01a	Why did the respondent terminate the survey early?	1. Tired 2. Too busy / doesn't have time 3. Offended at a question 4. Suspicious of enumerator or survey intent 5. Not comfortable providing answers by phone 6. Someone else in the household asked to terminate survey 7. Phone call dropped or bad reception 8. Other, specify.....
Z2_02	Are you very confident, somewhat confident or not very confident in the overall quality and truthfulness of this respondent's responses?	1. Very confident 2. Somewhat confident 3. Not confident
Z2_02a	Why are you not confident?	
Z2_03	Which attempt is this at calling the number, for this survey?	1. First attempt 2. Second attempt 3. Third attempt 4. Fourth attempt 5. Fifth attempt 6. Other, specify.....
Z2_04	Enumerator, please note any problems with the survey or any other notes, feedback or changes you recommend. (Note the issue and the question number if possible)	

***** END INTERVIEW *****